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| 00959 759 | 90 01/24/2006 | | | have its own cert | tificate of mailing o | r transmission. | one of formal arawing, must | | |
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| 04/25/2006 CNEGA2 000 | | (Depositor's name) | | | | | | | |
| 01 FC:1501 | | (Signature) | | | | | | | |
| | i DA | | | | (Date) | | | | |
| APPLICATION NO. | FILING DATE | FILING DATE FIRST NAMED INVE | | | TOR ATTORNEY DOCKET NO. CONFIRMATION NO. | | | | |
| 10/676,976 | 09/30/2003 | | Richard W | illiam Glew | BT\ | BTW-014 7682 | | | |
| TITLE OF INVENTION: MI | ETHOD FOR INTEGRATI | NG OPTICAL DE | EVICES IN A | SINGLE EPITAXIAL GI | ROWTH STEP | | | | |
| APPLN. TYPE | SMALL ENTITY | ISSUE F | EE | PUBLICATION FEE | TOTAL F | EE(S) DUE | DATE DUE | | |
| nonprovisional | NO | \$1400 |) | \$300 | \$1 | 700 | 04/24/2006 | | |
| EXAMI | NER | ART UN | IIT | CLASS-SUBCLASS | | | | | |
| SMOOT, STEPHEN W 281 | | 2813 | | 438-031000 | | | | | |
| Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | (1) the na or agents (2) the na registered 2 register | For printing on the patent front page, list 1) the names of up to 3 registered patent attorneys agents OR, alternatively, 2) the name of a single firm (having as a member a gistered attorney or agent) and the names of up to registered patent attorneys or agents. If no name is sted, no name will be printed. | | | | | |
| 3. ASSIGNEE NAME AND | RESIDENCE DATA TO B | E PRINTED ON T | I THE PATEN' | T (print or type) | | | | | |
| PLEASE NOTE: Unless | | low, no assignee | data will apr | pear on the patent. If an | assignee is identifi | ed below, the d | document has been filed for | | |
| (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) | | | | | | | | | |
| BOOKHAM TECHN | - | | | n, Oxfordshire | | U | | | |
| Please check the appropriate | assignee category or categor | ries (will not be pr | inted on the p | patent): 🗖 Individual | Corporation or | other private gr | oup entity Government | | |
| 4a. The following fee(s) are e | nclosed: | 41 | . Payment of | ` ' | | | | | |
| X Issue Fee | ` ' | mount of the fee(s) is enclosed. | | | | | | | |
| Publication Fee (No sn | • | lit card. Form PTO-2038 is attached. | | | | | | | |
| Advance Order - # of | Copies 10 | | Deposit Acc | ector is hereby authorized count Number $12-00$ | by charge the req | uired fee(s), or iclose an extra c | credit any overpayment, to copy of this form). | | |
| 5. Change in Entity Status (| from status indicated above |) | | | | | · · · · · · · · · · · · · · · · · · · | | |
| | IALL ENTITY status. See | | | cant is no longer claiming | | | | | |
| The Director of the USPTO is NOTE: The Issue Fee and Pu interest as shown by the record | s requested to apply the Issu blication Fee (if required) w ds of the United States Pate | e Fee and Publica vill not be accepted nt and Trademark | tion Fee (if and from anyon Office. | ny) or to re-apply any pre- e other than the applicant; | viously paid issue f a registered attorne | ee to the applicate or agent; or the | ation identified above. he assignee or other party in | | |
| Authorized Signature | In through | amenta | T | Date _ | April 21 | 2006 | | | |
| Tyged or printed name | | urentano | | | ration No. 38, | | | | |
| This collection of information an application. Confidentialit | is required by 37 CFR 1.3 y is governed by 35 U.S.C. | 11. The information 122 and 37 CFR | n is required 1.14. This co | to obtain or retain a benef llection is estimated to tak | it by the public what is 12 minutes to co | ich is to file (an mplete, includi | d by the USPTO to process) ng gathering, preparing, and | | |

Inis collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the CIST to be process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| porte to a concotten or intermedia | |
|------------------------------------|-----------------------|
| Application Number | 10/676976-Conf. #7682 |
| Filing Date | September 30, 2003 |
| First Named Inventor | Richard William GLEW |
| Art Unit | 2813 |
| Examiner Name | S. W. Smoot |
| Attorney Docket Number | BTW-014 |

| ENCLOSURES (Check all that apply) | | | | | | | | |
|---|-----------------------------|---|----------|---|--|--|--|--|
| X Fee Transr | nittal Form | Drawing(s) | | After Allowance Communication to TC | | | | |
| Fee Attached | | Licensing-related Papers | | Appeal Communication to Board of Appeals and Interferences | | | | |
| Amendment/Reply | | Petition | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | | | | |
| After Final | | Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer | | Proprietary Information Status Letter X Other Enclosure(s) (please Identify below): | | | | |
| Affidavits/declaration(s) | | | | | | | | |
| Extension of Time Request | | | | | | | | |
| Express Abandonment Request | | Request for Refund | | Issue Fee Transmittal Form PTOL- 85B | | | | |
| Information Disclosure Statement | | CD, Number of CD(s) | | Return Receipt Postcard | | | | |
| Certified Copy of Priority Document(s) | | Landscape Table on | CD | | | | | |
| Reply to Missing Parts/ Incomplete Application | | Remarks | | | | | | |
| Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | | | |
| Firm Name | LAHIVE & COCKFIELD, LLP | | | | | | | |
| Signature | Signature Inthem Journalium | | | | | | | |
| Printed name | Anthony A. Laurentano | | | | | | | |
| Date | April 21, 2006 | <i>y</i> | Reg. No. | 38,220 | | | | |

PTO/SB/17 (12-04v2)

Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/676976-Conf. #7682 FEE TRANSMITTAL Filing Date September 30, 2003 First Named Inventor Richard William GLEW For FY 2005 **Examiner Name** S. W. Smoot Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2813 TOTAL AMOUNT OF PAYMENT (\$) 1,730.00 BTW-014 Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): x Deposit Account Deposit Account Number: 12-0080 Deposit Account Name: Lahive & Cockfield, LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of Credit any overpayments fee(s) under 37 CFR 1.16 and 1.17 **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES Small Entity Small Entity** Small Entity Application Type Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fees Paid (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 250 600 300 Provisional 200 100 0 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee Description Fee (\$) Fee (\$) Each claim over 20 (including Reissues) 50 25 Each independent claim over 3 (including Reissues) 200 100 Multiple dependent claims 360 180 **Total Claims** Fee Paid (\$) **Multiple Dependent Claims** Fee (\$) Fee Paid (\$) Indep. Claims Extra Claims Fee (\$) Fee Paid (\$) - 3 = 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Extra Sheets Fee (\$) Fee Paid (\$) /50 _____ (round up to a whole number) x 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): 1501 Utility issue fee 1.400.00 1504 Publication fee for early, voluntary, or normal ... 300.00 8001 Printed copy of patent w/o color 30.00 SUBMITTED BY Signature Registration No. amentano 38.220 Telephone (617) 227-7400 (Attorney/Agent) Name (Print/Type) Anthony A. Laurentano April 21, 2006